

Filed by: Jameson Lee  
Administrative Patent Judge  
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Filed  
18 December 2003

UNITED STATES PATENT AND TRADEMARK OFFICE

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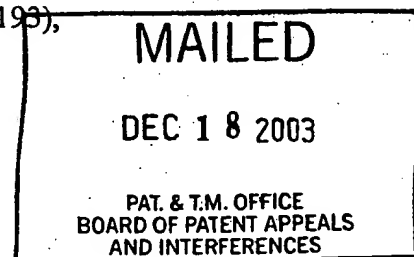
BEFORE THE BOARD OF PATENT APPEALS  
AND INTERFERENCES

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KARL L. GINTER, VICTOR H. SHEAR,  
FRANCES J. SPAHN and DAVID M. VAN WIE,  
Junior Party,  
(Patents 5,920,861; 5,982,891; 6,138,119 and 6,253,193),

v.

GREG BENSON, GREGORY H. URICH  
and CHRISTOPHER L. KNAUFT,  
Senior Party,  
(Applications 09/164,606 and 09/321,386).



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Patent Interference No. 105,193

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NOTICE DECLARING INTERFERENCE  
(37 CFR § 1.611)

**Part A. Declaration of interference**

An interference is declared (35 U.S.C. § 135(a)) between the above-identified parties.

Details of the application(s), patent (if any), reissue application (if any), count(s) and claims designated as corresponding or as not corresponding to the count(s) appear in Parts E and F of this NOTICE DECLARING INTERFERENCE.

**Part B. Judge designated to handle the interference**

Administrative Patent Judge Jameson Lee has been designated to handle the interference.

37 CFR § 1.610(a).

**Part C. Standing order**

A Trial Section STANDING ORDER accompanies this NOTICE DECLARING INTERFERENCE. The STANDING ORDER applies to this interference.

**Part D. Conference call to set dates**

A telephone conference call to discuss whether the priority phase of this interference can or should be conducted first is scheduled for 1:30 p.m. on 7 January 2004 (the call will be initiated from the PTO).

If the priority phase of this interference is not conducted first, the Administrative Patent Judge intends to set the times for filing preliminary motions on January 7, 2004 and set Time Period 8 as October 7, 2004.

A copy of a "sample" order setting times for taking action during the preliminary motion phase of the interference accompanies this NOTICE DECLARING INTERFERENCE. Counsel are encouraged to discuss the order prior to the conference call with the view to coming to some agreement as to dates for taking action. A typical preliminary motion period lasts approximately nine (9) months. Counsel should be prepared to justify any request for a shorter or longer period.

**Part E. The parties involved in this interference are:**

**Junior Party**

Named Inventor: KARL L. GINTER, Beltsville, MD  
VICTOR H. SHEAR, Bethesda, MD  
FRANCIS J. SPAHN, El Cerrito, CA  
DAVID M. VAN WIE, Sunnyvale, CA

Patent: 5,920,861, granted 6 July 1999, based on  
application 08/805,804, filed 25 February 1997

Title: Techniques for defining using and manipulating  
rights management data structures

Assignee: InterTrust Technologies Corp.

Accorded Benefit: None

Attorneys: See last page

Address: See last page

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Patent: 5,982,891, granted 9 November 1999, based on  
application 08/964,333, filed 4 November 1997

Title: Systems and methods for secure transaction  
management and electronic rights protection

Assignee: InterTrust Technologies Corp.

Accorded Benefit: Application 08/388,107, filed 13 February 1995

Attorneys: See last page

Address: See last page

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**Patent:** 6,138,119, granted 24 October 2000, based on application 09/300,778, filed 27 April 1999

**Title:** Techniques for defining, using and manipulating rights management data structures

**Assignee:** InterTrust Technologies Corp.

**Accorded Benefit:** 5,920,861, granted 6 July 1999, based on application 08/805,804, 25 February 1997

**Attorneys:** See last page

**Address:** See last page

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**Patent:** 6,253,193, granted 26 June 2001, based on Application 09/208,017, filed 9 December 1998

**Title:** Systems and methods for the secure transaction management and electronic rights protection

**Assignee:** InterTrust Technologies Corp.

**Accorded Benefit:** 5,982,891, granted 9 November 1999, based on application 08/964,333, filed 4 November 1997; Application 08/388,107, filed 13 February 1995

**Attorneys:** See last page

**Address:** See last page

### Senior Party

Named inventor: GREG BENSON, Dalby, Sweden  
GREGORY H. URICH, Lund, Sweden  
CHRISTOPHER L. KNAUFT, San Diego, CA

Application: 09/164,606, filed 1 October 1998

Title: Method and system for managing a data object  
so as to comply with predetermined conditions  
for usage

Assignee: Macrovision Corporation

Accorded Benefit: Patent 5,845,281, granted 1 December 1998, based  
on application 08/594,811, filed 31 January 1996;  
Swedish Application 9500355-4, filed 1 February 1995

Attorneys: See last page

Address: See last page

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Application: 09/321,386, filed 27 May 1999

Title: Method and system for managing a data object  
so as to comply with predetermined conditions  
for usage

Assignee: Macrovision Corporation

Accorded Benefit: Patent 5,845,281, granted 1 December 1998, based  
on application 08/594,811, filed 31 January 1996;  
Application 09/164,606, filed 1 October 1998;  
Swedish Application 9500355-4, filed 1 February 1995

Attorneys: See last page

Address: See last page

**Part F. Count and claims of the parties**

**Count 1**

**Claim 48 of Benson's Application 09/321,386 (Benson III)**

The claims of the parties corresponding to Count 1 are:

|   |  |
|---|--|
| Ginter's Patent No. 5,920,861 (Ginter I):     | Claims 1-4, 11-13, 34-38, 40-43, 56, 63, 64, 67 and 68   |
| Ginter's Patent No. 5,982,891 (Ginter II):    | Claims 26-31   |
| Ginter's Patent No. 6,138,119 (Ginter III):   | Claims 1-28, 30-32, 34-42, 51, 53-57 and 59-63           |
| Ginter's Patent No. 6,253,193 (Ginter IV):    | Claims 1-18 and 64-72                                    |
| Benson's Application 09/164,606 (Benson II):  | Claims 30-32, 34-41, 44-46, 48, 51, 56, 58-66, 68 and 69 |
| Benson's Application 09/321,386 (Benson III): | Claims 1-3, 5-12, 15-17, 19, 22, 27, 29-37 and 39-53     |

The claims of the parties **not** corresponding to Count 1 are:

|  |   |
|--|---|
| Ginter's Patent No. 5,920,861 (Ginter I):      | Claims 5-10, 14-33, 39, 44-55, 59-62, 65, 66, 69 and 70 |
| Ginter's Patent No. 5,982,891 (Ginter II):     | Claims 1-25 and 32-102                                  |
| Ginter's Patent No. 6,138,119 (Ginter III):    | Claims 29, 33, 43-50, 52, 58 and 64                     |
| Ginter's Patent No. 6,253,193 (Ginter IV):     | Claims 19-63  |
| Benson's Application 09/164,606 (Benson II):   | Claims 33, 42, 43, 47, 49, 50, 52-55, 57 and 67         |
| Benson's Application 09/321, 386 (Benson III): | Claims 4, 13, 14, 18, 20, 21, 23-26, 28 and 38          |

**Part G. Heading to be used on papers**

The following heading shall be used on papers filed in the interference. See **STANDING ORDER ¶ 3.5.**

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Filed on behalf of [name of party]

Paper \_\_\_\_<sup>1</sup>

By: Name of lead counsel  
Name of backup counsel  
Street address  
City, State, and Zip-Code  
Tel:  
Fax:

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE BOARD OF PATENT APPEALS  
AND INTERFERENCES  
(Administrative Patent Judge Jameson Lee)

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KARL L. GINTER, VICTOR H. SHEAR,  
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(Applications 09/164,606 and 09/321,386).

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Patent Interference No. 105,193

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TITLE OF PAPER

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<sup>1</sup> Leave a blank line because the board assigns the paper number.

## **Part H. Summary of dates for taking action**

Times for taking action are set out in the following sections of the STANDING ORDER:

- ¶ 4: date for identifying lead and backup counsel.
- ¶ 5: date for identifying any real party in interest.
- ¶ 6: date for requesting copies of involved and benefit applications and patents.
- ¶ 7: date for accomplishing certain discovery.
- ¶ 8: date for filing clean copy of claims.
- ¶ 9: date for filing clean copy of claims in cases with drawings or claims containing a means plus function limitation.
- ¶ 10: date for filing list of proposed preliminary motions.
- ¶ 13.10.2: dates for filing oppositions to Rule 635 miscellaneous motions and dates for filing replies to oppositions.
- ¶ 14.1.1: date for objecting to admissibility of evidence.
- ¶ 14.2: date for serving supplemental affidavits or evidence to respond to objection to admissibility of evidence.
- ¶ 14.3: dates when cross-examination can take place.
- ¶ 15.2: dates for taking action with respect to settlement discussions.



**Part I. Order form for requesting file copies**

**FILE COPY REQUEST**  
**Interference 105,193**

A copy of Part E of this NOTICE DECLARING INTERFERENCE should be attached to this FILE COPY REQUEST, with a circle by hand around the patents and applications for which a copy of a file wrapper is desired.

To facilitate processing of this FILE COPY REQUEST, the following information should be included:

1. Charge fees to USPTO Deposit Account No. \_\_\_\_\_
2. Complete address, including street, city, state, ZIP code and telephone number (do not list a Post Office box because file copies are sent via commercial overnight courier).

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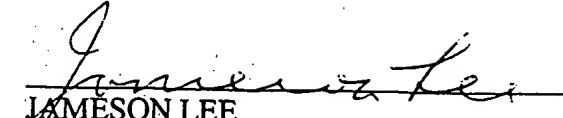
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Telephone, including area code: \_\_\_\_\_

**Part J. Signature of administrative patent judge**

  
JAMESON LEE  
Administrative Patent Judge

Date: 12/18/03

Enc:

Copy of STANDING ORDER

Copy of order used for setting times for taking action in the preliminary motion phase of the interference

Copy of order used for setting times for taking action in the testimony and briefing phases of the interference

Revised May 2003

cc (via Federal Express):

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